

## Disclosure Statement (Financial Adviser)

Name of Financial Adviser	Lisa Russell
Trading Name	Wallace McLean Limited
Physical Address	Guildford House, Level 3, 2 Emily Place, Auckland 1010
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This disclosure statement was prepared on	7 September 2018

### **It is important that you read this document**

This information will help you to choose a Financial Adviser that best suits your needs. It will also provide some useful information about the Financial Adviser that you choose.

### **What sort of adviser am I?**

I am a registered, but not authorised, Financial Adviser. I can give you advice about:

Fire and General Insurance for businesses and individuals including but not limited to Property, Business Interruption, Liability, Motor Vehicle, Marine Cargo, Marine Hull, Travel, Personal Accident, Computer and Machinery Breakdown, House and Contents.

### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me or my employer or my internal complaints scheme so that we can try to fix the problem.

You may contact the internal complaints scheme by emailing [nigel@wallacemclean.co.nz](mailto:nigel@wallacemclean.co.nz) detailing the nature of your complaint. The name of the person you have been dealing with and your contact details, such as your name, address, phone number and email address and the preferred method that you would like us to respond to you by.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact **Financial Services Complaints Limited**. This service will cost you nothing, and will help us resolve any disagreements. You can contact Financial Services Complaints Limited at:

13<sup>th</sup> Floor, 45 Johnston Street, Wellington  
PO Box 5867, Lambton Quay, Wellington 6145  
Phone Toll Free 0800 347 257 - Website [www.fscl.org.nz](http://www.fscl.org.nz)

### **How am I regulated by the Government?**

We are licensed and regulated by the Financial Markets Authority (FMA) for the financial services we provide. You can obtain information about our financial advisers through the Financial Service Providers Register - [www.fspr.govt.nz](http://www.fspr.govt.nz).

You may also report information to the FMA about Wallace McLean Ltd and its financial advisers at:

P O Box 1179, Wellington 6140  
Phone 04 472 9836 – Website [www.fma.govt.nz](http://www.fma.govt.nz)

Note - in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

### **Declaration**

I, Lisa Russell, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed

